

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5863596
<b>Application Number:</b>	09992348
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7197
<b>Title of Invention:</b>	Credit risk managing loan pools
<b>First Named Inventor/Applicant Name:</b>	Margaret Sue Ellis
<b>Customer Number:</b>	20686
<b>Filer:</b>	Gregory P. Durbin/Ginny Sawatzke
<b>Filer Authorized By:</b>	Gregory P. Durbin
<b>Attorney Docket Number:</b>	1366/US
<b>Receipt Date:</b>	11-AUG-2009
<b>Filing Date:</b>	21-NOV-2001
<b>Time Stamp:</b>	18:00:42
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1920
RAM confirmation Number	3809
Deposit Account	041415
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	09-991762_2007-01-09_RestrictionRequirement.PDF	197663	no	6
			b79eaa3990e444e24180b7ee25e579cda62971d		
Warnings:					
Information:					
2	NPL Documents	09-991762_2007-03-07_AmendmentandResponsetoRestrictionRequirement.PDF	776444	no	18
			8a03a00b3c1b4d1e4a27f6ba7f862b880943add		
Warnings:					
Information:					
3	NPL Documents	09-991762_2007-05-21_NonFinalOAandPTO892.PDF	379843	no	10
			6064d037f12815e9b42e164001ce41d4b8496be		
Warnings:					
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4	NPL Documents	09-991762_2007-09-05_TerminalDisclaimer.PDF	73395	no	1
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5	NPL Documents	09-991762_2007-09-05_Response to OA.PDF	92422	no	3
			234d2ce105c4bf79a38358bd47d8d1dc571eaa		
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6	NPL Documents	09-991762_2007-11-28_NonFinalOAandPTO892.PDF	547048	no	14
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7	NPL Documents	09-991762_2008-05-28_TerminalDisclaimer.PDF	75860	no	1
			c50c24a24e451ba5c60005f02f0190798a570a20ba3		
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8	NPL Documents	09-991762_2008-05-28_AmendmentandResponsetoOA.PDF	593869	no	13
			691a266d9b314db14b1676aa0752916038d66d44		
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9	NPL Documents	09-991762_2008-08-22_FinalIO AandPTO892.PDF	534346 61d41785377d7e4a6c3d08b2b2e64823c3568	no	14
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10	NPL Documents	09-991762_2009-02-23_Amend mentandResponsetoFinalOA. PDF	698092 621113b67508bcb12a0f44707a7922510c30386	no	14
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11	NPL Documents	09-991762_2009-03-16_NonFin alOAandPTO892.PDF	516730 736a7c09ca740b6d2c529c76411904811eaf8f18	no	14
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12	NPL Documents	11-871316_2008-12-30_NonFin alOA_pp11.PDF	408800 ab0ec565790845a07b2724ec3727218c7b31af	no	11
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13	NPL Documents	11-871316_2009-06-26_Amend mentandResponsetoNon- FinalOA_pp11.PDF	460546 56dc0a3923a561861319d525702700571d89041	no	11
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14	NPL Documents	11-871316_2009-06-26_Termin alDisclaimer_pp1.PDF	80835 891c2115a0a03f5650978784627d208082a7bb6	no	1
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15	NPL Documents	11-871431_2008-09-24_NonFin alOA_pp16.PDF	693910 68b06dfc8b1a7c2c978b1ec9326a26a890100d8	no	16
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16	NPL Documents	11-871431_2009-03-24_Amend mentandResponsetoOA_pp10. PDF	429059 68b06df34c714a09488a242d508485f1d8555a623	no	10
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17	NPL Documents	11-871483_2008-07-23_NonFin alOAandPTO892_pp10.PDF	379604 22a89613a05df71b6ac3c57a4d8700737a434dc	no	10
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18	NPL Documents	11-871483_2008-11-24_Amend mentandOAR_pp5.PDF	195191 1e344eb749382d238d266a3870517e0b 548c	no	5
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20	NPL Documents	11-871548_2008-05-28_NonFin alOAandPTO892_pp11.PDF	433904 15e4c3d4eb614344a4d74e1318b104b a0cf	no	11
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21	NPL Documents	11-871548_2008-11-26_Amend mentandResponsetoNonFinalO A_pp7.PDF	298600 5075a7a0f62334d238591a0bced880b 233c	no	7
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22	NPL Documents	11-871548_2009-03-18_FinalO A_pp12.PDF	610362 d45454ca7b0cf04b2486b0812d1a1cae7 2088	no	12
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<b>Information:</b>					
23	Request for Continued Examination (RCE)	RequestForContinuedExaminat ion_1366US_US_PDF	76534 3c92088dc1aef1e79c33ab3a1e7f1b1b5d1 7586d	no	1
<b>Warnings:</b>					
This is not a USPTO supplied RCE SB30 form.					
<b>Information:</b>					
24	Extension of Time	CombinedAmendmentandPeti tionForExtensionofTime_1366 US_US_PDF	73792 95c0b0d7b11993a30f75cc3dd452608ce 80798a	no	2
<b>Warnings:</b>					
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25		AmendmentandResponsetoFin alOA_1366US_US_PDF	800730 8d8815d3a9638a977800e4a483ef8ed273f 8cf	yes	17
<b>Multipart Description/PDF files in .zip description</b>					
<b>Document Description</b>			<b>Start</b>	<b>End</b>	
Amendment Submitted/Entered with Filing of CPA/RCE			1	1	

	Claims	2	10
	Applicant Arguments/Remarks Made in an Amendment	11	17

**Warnings:**
**Information:**

26	Transmittal Letter	IDSandStatementofRelatedness_1366US_.PDF	88374 69985373B61779C32c0b69d17a906da1c b0b5	no	3
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**Warnings:**
**Information:**

27	Information Disclosure Statement (IDS) Filed (SB/08)	PTOSB08AandB_1366US_.PDF	131828 7a8e8c85377a7e0a37c622db4c7db579d5 b01d	no	3
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**Information:**

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28	Fee Worksheet (PTO-875)	fee-info.pdf	32291 32f9217d6d5f801bb66c02f8fec12ca133 87906	no	2
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**Information:**

Total Files Size (in bytes):		10131129
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.